

(Only for new nonprovisional applications under 37 CFR 1.53(b))

First Inventor or Application Identifier	Joel Brian DERRICO
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Title	CLUSTER COMPUTER NETWORK APPLIANCE WHITE PAPER
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See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

- |      |   |  |
|------|---|--|
| 2.01 | <input checked="" type="checkbox"/> <b>Fee Transmittal Form (e.g. PTO/SB/17)</b><br>(Submit an original and a duplicate for fee processing)   |  |
|      | <input checked="" type="checkbox"/> <b>Applicant claims small entity status.</b>  |  |
|      | <b>2. Specification</b>   | <b>Total Pages</b> <span style="border: 1px solid black; padding: 2px 10px;">23</span> |
|      | <b>3. Drawing(s) (35 U.S.C. 113)</b>  | <b>Total Sheets</b> <span style="border: 1px solid black; padding: 2px 10px;">5</span> |
|      | <b>4. Oath or Declaration</b>   | <b>Total Pages</b> <span style="border: 1px solid black; padding: 2px 10px;">3</span>  |
|      | a. <input checked="" type="checkbox"/> <b>Newly executed (original)</b>   |  |
|      | b. <input type="checkbox"/> <b>Copied from a prior application (37 C.F.R. §1.63(d))</b><br><i>(for continuation/divisional with box 16 completed)</i>   |  |
|      | <b>5. <input type="checkbox"/> Incorporation By Reference</b> <i>(usable if box 4B is checked)</i><br>The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4B, is considered to be part of the disclosure of the accompanying application and is hereby |  |

6. ☒ Assignment Papers (cover sheet & document(s))

7. ☐ 37 C.F.R. §.373.(b) Statement ☐ Power of Attorney  
(when there is an assignee)

8. ☐ English Translation Document (if applicable)

9. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations

10. ☐ Preliminary Amendment

11. ☒ White Advance Serial No. Postcard

12. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)

13. ☐ Request for Priority

14. ☐ List of Inventors' Names and Addresses

15. ☒ Other: Check in the amount of \$665.00

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

- ☐ Continuation      ☐ Divisional      ☐ Continuation-in-part (CIP)      of prior application no.:

Prior application information: Examiner:


Group Art Unit:

17. Amend the specification by inserting before the first line the sentence:

- ☐ This application is a ☐ Continuation ☐ Division ☐ Continuation-in-part (CIP)  
of application Serial No. Filed on

☐ This application claims priority of provisional application Serial No. \_\_\_\_\_ Filed \_\_\_\_\_

Supervisor, Patent Prosecution Services  
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Name	Steven B. Kelber	Registration No.		30,073	
Signature		Date	November 16, 2001	Telephone	202-861-3900
Name	Kenneth K.D. Vu	Registration No.		46,323	

# FEE TRANSMITTAL

Docket No 9512-002-27  
 Serial No. NEW PATENT APPLICATION  
 Filing Date HEREWITH  
 Inventor(s) Joel Brian DERRICO et al.  
 Group Art Unit  
 Examiner

TOTAL AMOUNT OF PAYMENT \$665.00

1. ☒ Applicant claims small entity status.

☒ Charge any **UNDERPAYMENT** or credit any **OVERPAYMENT** in the indicated fees to Deposit Account No. 50-1442.

☐ Charge the indicated fees to Deposit Account No. 50-1442.

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Small Entity Fee Description

2. ☒ Check enclosed.

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description		Fee Paid	
Fee Code	Fee (\$)	Fee Code	Fee (\$)				
101	740	201	370	Utility filing fee		370.00	
100	330	206	165	Design filing fee			
107	510	207	255	Plant filing fee			
108	740	208	370	Reissue filing fee			
112	160	214	80	Provisional filing fee			

SUBTOTAL (1) \$370.00

#### 2. EXTRA CLAIM FEES

tot. claims	39	-	20*	=	19	x	\$9	=	171
ind. claims	5	-	3*	=	2	x	\$42	=	84


☐ Multiple Dependent Claims \$140 =

Large Entity		Small Entity		Fee Description		Fee Paid	
Fee Code	Fee (\$)	Fee Code	Fee (\$)				
109	18	203	9	Claims in excess of 20			
102	84	202	42	Independent claims in excess of 3			
104	280	204	140	Multiple dependent claim, if not paid			
109	84	209	42	*Reissue independent claims over original patent			
110	18	210	9	*Reissue claims in excess of 20 and over original patent			

SUBTOTAL (2) \$255.00

\* or number previously paid, if greater. For Reissues, see above

Fee Code	Fee (\$)	Fee Code	Fee (\$)		Fee Paid
105	130	205	65	Surcharge-late filing fee or oath	
127	150	227	25	Surcharge-late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2520	147	2520	Ex parte reexam fee	
115	110	215	55	1-mo ext. of time	
116	300	216	200	2-mo ext. of time	
117	320	217	460	3-mo ext. of time	
118	1440	218	720	4-mo ext. of time	
128	1960	228	980	5-mo ext. of time	
119	320	219	160	Notice of Appeal	
120	320	220	160	Appeal Brief	
121	280	221	140	Request for Oral Hearing	
142	1280	242	640	Utility/Reissue Issue Fee	
143	450	243	230	Design Issue Fee	
144	620	244	310	Plant Issue Fee	
122	130	122	130	Petitions to the Commissioner	
126	180	126	180	IDS Submission	
581	40	581	40	Assignment	40.00
179	740	279	370	For Filing RCE	
169	900	169	900	Expedited Design	
OTHER (indicate below)					
SUBTOTAL (3)					\$40.00

Name	Steven B. Kelber	Registration No.	30,073
Signature		Date	November 16, 2001
Name	Kenneth K.D. Vu	Telephone	202-861-3900
		Registration No.	46,323